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COPY OF PAPERS
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P A T E N T

Receipt
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kupferschmid et al.

Application No.: 10/055,795

Filed: January 22, 2002

For: SURGICAL, TUBULAR-SHAFTED INSTRUMENT

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on March 7, 2002.

By: *Carol Prentice*
Carol Prentice

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants received an official Filing Receipt for the patent application referenced above.

Applicants would like the following correction(s) to be made on the Filing Receipt:

(1) Correct the filing date of the PCT application from "01/30/2000" to the correct filing date of:

-- 06/30/2000 --


The following documents are enclosed herewith:

- Copy of the Declaration and Power of Attorney form, mailed to the U.S. Patent and Trademark Office on March 7, 2002, noting the filing date of the PCT application.
- Copy of the Patent Application Data Entry form mailed to the U.S. Patent and Trademark Office on January 22, 2002 with the PCT application filing date highlighted.
- Copy of the Filing Receipt, with the requested correction(s) noted thereon.

Applicants respectfully request that the official records for the above application be changed to reflect the above correction(s), and that a corrected Filing Receipt be issued.

Respectfully submitted,

Date: **March 7, 2002**
ATTORNEY DOCKET NO.: HOE-673



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200



MAR 18 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/055,795	01/22/2002	3731	0.00	HOE-673	4	34	2

CONFIRMATION NO. 7436

20028
LAW OFFICE OF BARRY R LIPSITZ
755 MAIN STREET
MONROE, CT 06468

COPY OF PAPERS
ORIGINALLY FILED

FILING RECEIPT



OC000000007490729

Date Mailed: 02/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bernhard Kupferschmid, Emmingen-Liptingen, GERMANY;
Pedro Morales, Tuttlingen, GERMANY;
Dieter Weisshaupt, Immendingen, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/EPOO/06125

06/30/2000
01/30/2000

Foreign Applications

GERMANY 199 35 042.6 07/26/1999

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Surgical, tubular-shafted instrument

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, C d of Federal Regulati ns, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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PATENT

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By:

Carol Prentice

SUBMISSION OF MISSING PARTS OF
APPLICATION UNDER 37 C.F.R. 1.53

Dear Sir:

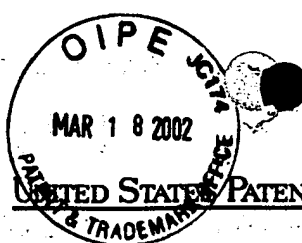
Enclosed are the following items for filing in the above-referenced U.S. patent application:

- [x] A check in the amount of \$1,162 to cover the total filing fee (\$992); the surcharge set forth in 37 C.F.R. 1.16(e) (\$130); and the Assignment recordal fee (\$40);

Basic Fee -							\$ 740.00
Multiple Dependent Claims (270.00)							
Foreign Language Surcharge (\$130.00)							
	For	No. Filed	-	No. Extra	-	Rate	
EXTRA CLAIMS	Total Claims	34	20	14	x	\$ 18.	= \$ 252.00
	Independent Claims	2	3	0	x	\$ 84.	= \$ 0
	TOTAL FILING FEE						= \$ 992.00

- [x] Return receipt postage prepaid postcard;

- [x] Formalities Letter (Part 2) mailed February 19, 2002;



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,795	01/22/2002	Bernhard Kupferschmid	HOE-673

CONFIRMATION NO. 7436

20028
LAW OFFICE OF BARRY R LIPSITZ
755 MAIN STREET
MONROE, CT 06468

COPY OF PAPERS
ORIGINALLY FILED

FORMALITIES LETTER



OC00000007490730

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 ■ \$252 for 14 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1122.

A copy of this notice MUST be returned with the reply.

R. BERNHARDT
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 CV0111 00000070 10055795

01 FC:101	740.00 OP
02 FC:103	252.00 OP
03 FC:105	130.00 OP